



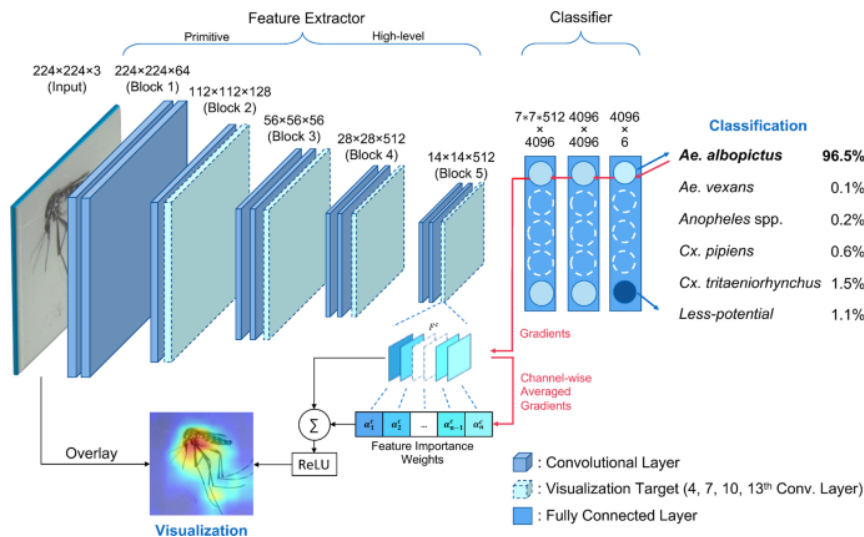
X-AI

Explainable Artificial Intelligence

Florence d'Alché-Buc



AI today



Park et al. Nature, 2020.

- significant advances in Statistical Machine Learning vs Symbolic Machine Learning
- spectacular results of Deep Neural Networks
- data-driven AI embedded in decision-making processes



Explainability in AI

« to describe the purpose, rationale and decision-making process of the AI tool in a way that can be understood by the average person »

Data scientist

Expert of the field (finance for instance)

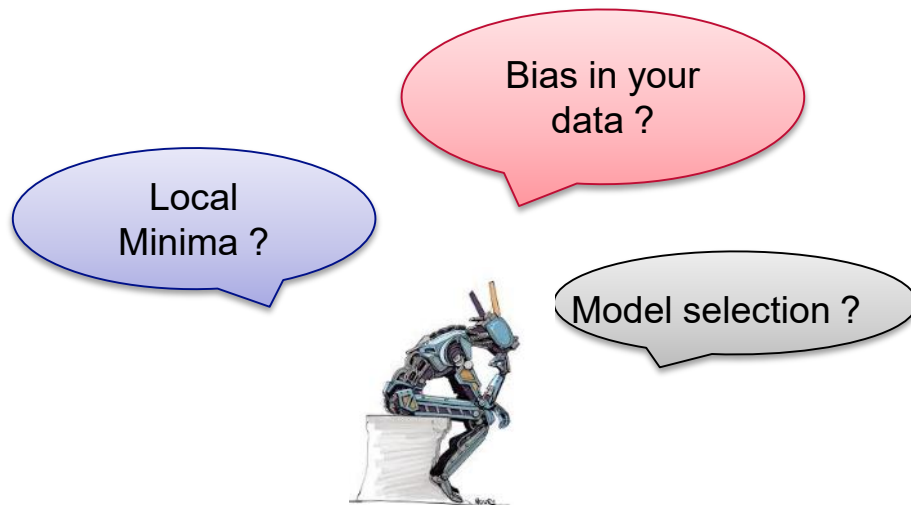
User/customer

Regulator / lawyer / ...

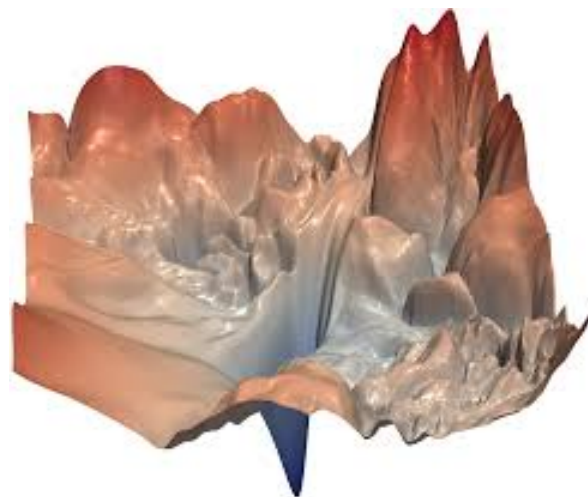
The lack of explainability in data-driven AI

1 Linked to the nature of statistical machine learning algorithms

Learning is a complex optimization process that takes a training dataset and produces a predictive model



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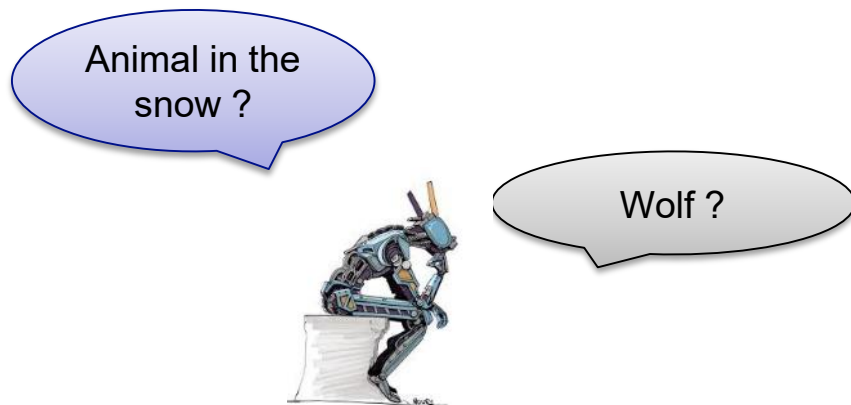


Visualization of a loss function,
Li et al. NeurIPS 2018.

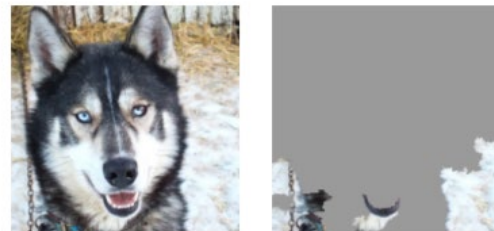
The lack of explainability in data-driven AI

2 Linked to the objectives of machine learning algorithms

A learning algorithm attempts to define a predictive model by searching for input patterns correlated with the output variable based on a strong assumption about data: the i.i.d. assumption



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(a) Husky classified as wolf (b) Explanation

Figure 11: Raw data and explanation of a bad model's prediction in the "Husky vs Wolf" task.

	Before	After
Trusted the bad model	10 out of 27	3 out of 27
Snow as a potential feature	12 out of 27	25 out of 27

Table 2: "Husky vs Wolf" experiment results.

Guestrin et al. 2016

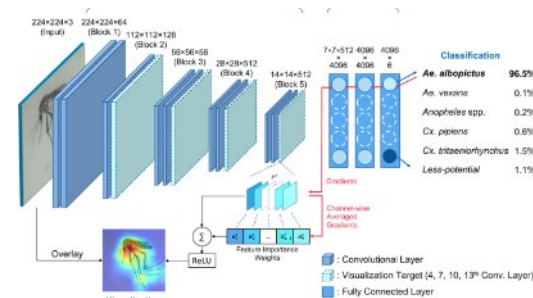
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3 Due to the nature of the predictive models

- *Some models are more explainable than others:*
sparse linear models, decision trees, probabilistic graphical models, random forests, ...

- deep neural networks exhibit a very high level of complexity (millions of parameters)

Pb : performance is often associated to very complex models & ability to tackle massive training datasets



The need for Explainability

Human- readable
justification of a decision

explain
to build
trust

explain
to control

Compliance to legislation
“Right to explanation”

explain
to improve

explain
to discover

Identification of systems flaws

Information extraction

XAI, a compound of trustworthy AI



Explainability in data-driven AI

Focus on local explainability: provide an “explanation” of the predictive model’s decision

What is an « explanation » ? For whom ? a data scientist, an expert of the field
a user, the regulator ?

Main factors that led to that prediction

High level concepts that are activated when the prediction is given

Counterfactual reasoning: if I change this feature value, does the prediction change ?



Explanations also depend on the nature of data

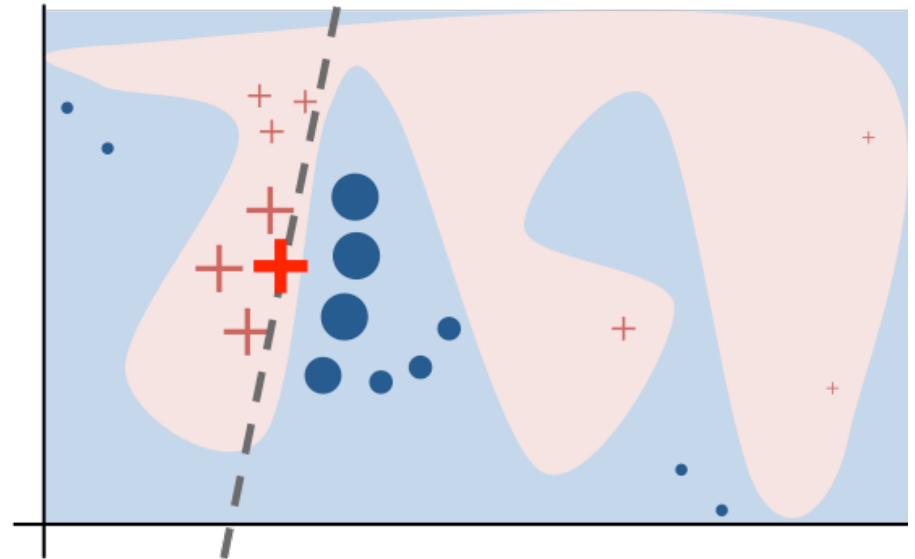
Multivariate hand-defined features

Image / Audio / Video

Natural Language processing

Post-hoc Approaches: local linear proxy

- **LIME (Ribeiro et al. 2016)**
- Model-agnostic approach that builds a sparse linear **proxy model** to get insights on a local decision once the whole model is learned.
- *Perturbation-based approach*



Post-hoc Approaches: saliency maps

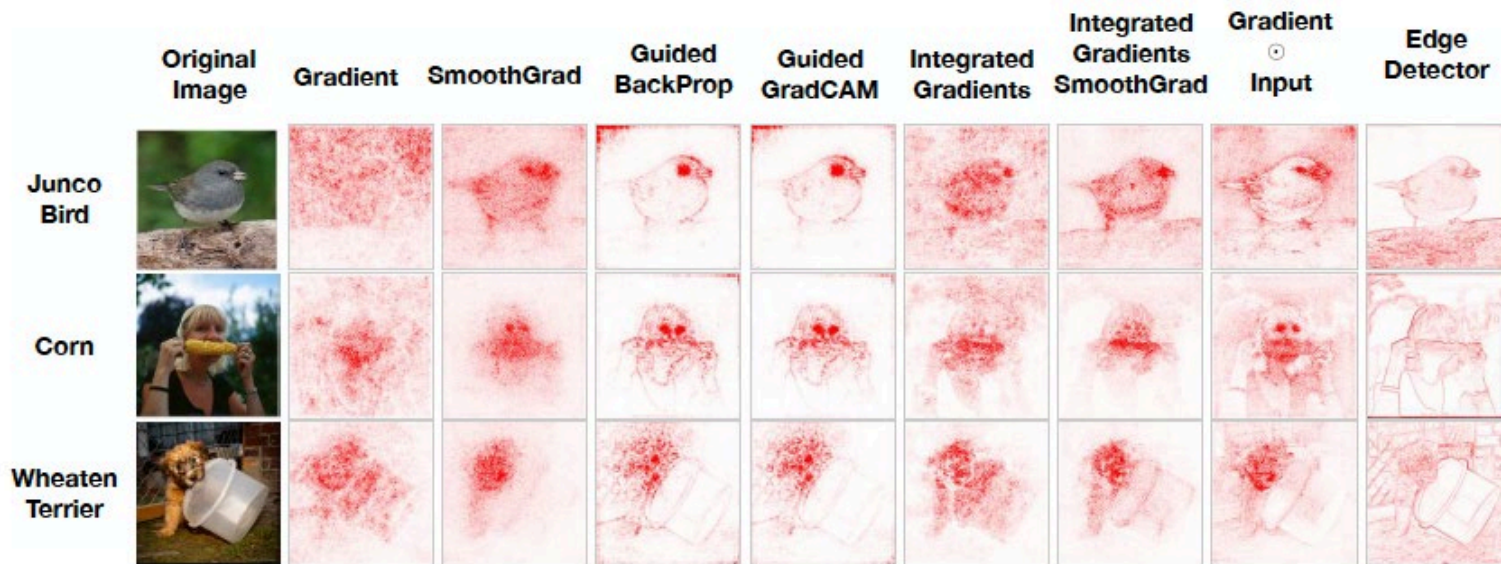


Fig. Adebayo et al. *NeurIPS* 2018.

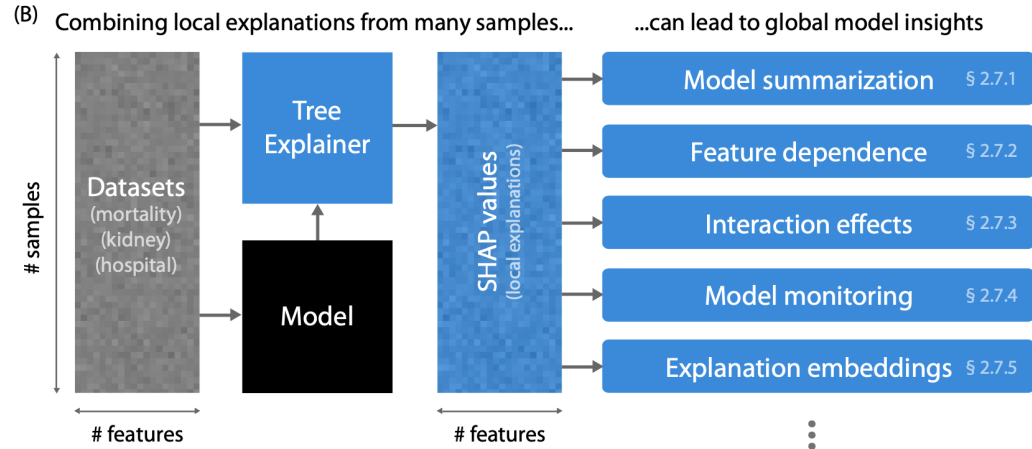
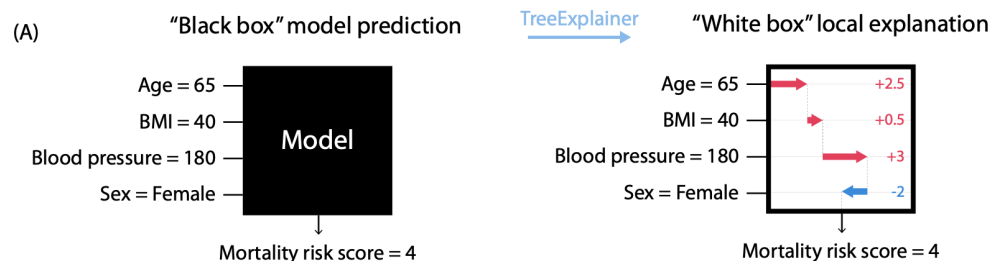
f is the predictive model, x is the input:

$$\frac{\partial f}{\partial x}$$

Refs: Werbos 1982, Pridy et al. 1993, Steppe & Bauer 1997, Simonyan et al. 2013, Springenberg et al. 2014, Smilkov et al. 2017, Selvaraju et al. 2017...

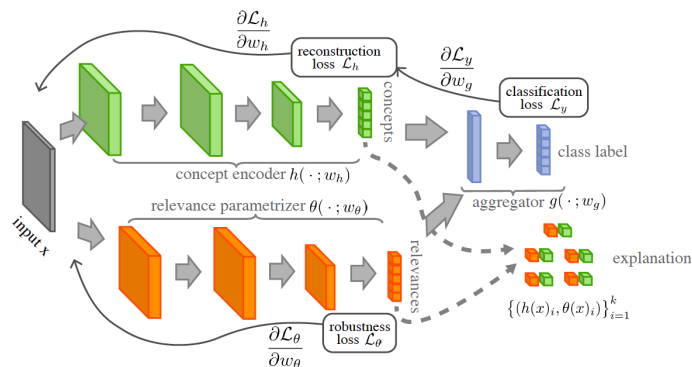
Post-hoc approaches: Tree explainer

(Lundberg et al. 2019)



Explainability By Design

- Identify a (specific) neural network to a set of logical rules (hybrid networks)
- Modify the architecture of a network to make it interpretable (Self-explainable Networks, Alvarez-Melis & Jaakola 2018)



SENN

Explainability by design

- Impose some properties that an interpretable neural network should satisfy, (d'Alché-Buc et al. 1994, Alvarez-Melis et al. 2018, Plumb et al. 2019)
 - (logical) consistency: non contradictory rules
 - Completeness
 - Fidelity of the « explanations » to the model's output
 - Sparsity of high level concepts
 - Stability of explanations

- Learn jointly two models: one for prediction, the other for explanation (Hendricks et al. 2016, Dong et al. 2017, Parekh et al. 2020)

Explainability by design

■ Generating visual explanations: Hendrycks et al. 2016



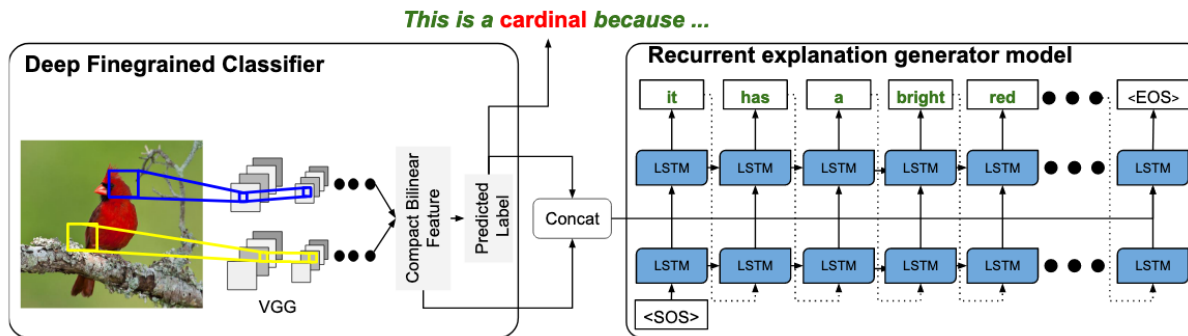
This is a pine grosbeak because this bird has a red head and breast with a gray wing and white wing.



This is a Kentucky warbler because this is a yellow bird with a black cheek patch and a black crown.



This is a pied billed grebe because this is a brown bird with a long neck and a large beak.

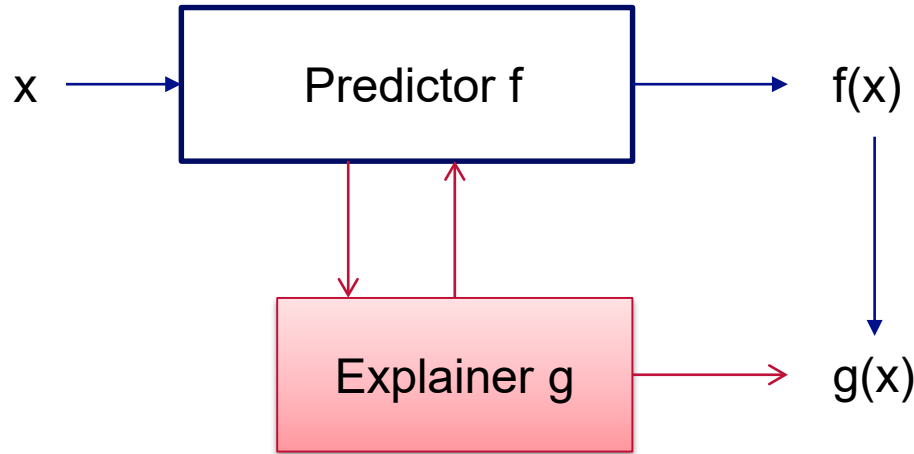


XAI in its infancy

- Tools on the shelves: mainly post-hoc approaches – good for existing black box models currently in production, but with some flaws: provide an explanation but may be not the one « used » by the model
- Formal work on interpretations/explanations in ML, re-think machine learning/AI at the lense of explainability for **a next generation AI tools**
- « **Can biologist fix a radio ?** » (Lazebnik, 2002) the celebrated paper in quantitative biology in 2000's applies somehow here. Can a statistician provides an explanation ?
 - Explanations are currently more interpretations than explanations: what link with reasoning ? What link with logics ? What link with knowledge ?
 - Making a predictive model explainable belongs more to symbolic AI and calls for automated reasoning, knowledge representation etc... a lot to borrow from years of AI.
- Other ways of thinking: counterfactual reasoning, intervention, Bayesian approaches, probabilistic programming, knowledge graph and automated reasoning

FLINT: a framework for learning interpretable network

Usage 1:
Joint learning of f and g ,
Mutual benefits,
 g can even be the
final predictor



Usage 2:
Post-hoc/reverse
engineering of a
pre-defined
network f

Parekh et al. 2020.

References

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- Christoph Molnar's online book on interpretable machine learning ([link](#))
- Adebayo et al. Sanity Checks for Saliency Maps, NeurIPS 2018
- Alvarez-Melis & Jaakola, Self-Explaining Neural networks, NeurIPS 2018 ([link](#))
- Hendricks, Akata, ..., Darrell, Generating visual explanations, ECCV 2016 ([link](#))
- [Plumb](#), [Al-Shedivat](#), Xing, [Talwalkar](#):Regularizing Black-box Models for Improved Interpretability. [CoRR abs/1902.06787](#) (2019)
- Ribeiro, Singh, Guestrin, Why Should I Trust You?": Explaining the Predictions of Any Classifier, KDD 2016.
- Platform, common tools:
 - What If tools (Google), Captum (Pytorch/Facebook), 360xAI (IBM) <https://aix360.mybluemix.net/>, iml R package